

BINGHAM McCUTCHEN

Facsimile

DATE May 27, 2003

	NAME	FAX	PHONE
TO	Examiner Ella Colbert Group Art Unit 3624 United States Patent and Trademark Office	1-703-872-9327 After Final (Technology Center)	1-703-308-7064

FROM Jeffrey S. Smith (650) 849-4800 (650) 849-4422
jeffrey.smith@bingham.com

PAGES (INCLUDING THIS COVER PAGE): 6

RE U.S. Patent Application Serial No. 09/073,748, Filed: May 6, 1998
Title: METHOD AND APPARATUS FOR CREATING A WELL-
FORMED DATABASE SYSTEM USING A COMPUTER
Applicant: Craig David Weissman et al.
Assignee: E.piphany, Inc.
Attorney Docket No.: EPI-003 US

FAX RECEIVED

MAY 28 2003

GROUP 3600

Official

Message:

Bingham McCutchen LLP
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Certificate of Transmission (37 C.F.R. § 1.8(a)):

Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner Ella Colbert, TC3600 (Group Art Unit 3624), United States Patent and Trademark Office, After Final (Technology Center), Commissioner for Patents, at Facsimile No. (703) 872-9327 at 2:45 a.m./p.m.

Dated: May 27, 2003

Name of Person Certifying:
Printed Name:

Oscar Guillen

Enclosed:

- Transmittal Form (1 pg.)
- Fee Transmittal Letter (2 pgs.)
- Notice of Appeal to the Board of Patent Appeals and Interferences (2 pgs.)

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PTO/SB/21 (05-03)

Approved for use through 04/30/03. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/073,748
Filing Date	May 6, 1998
First Named Inventor	Craig David Weissman et al.
Art Unit	3624
Examiner Name	E. Colbert
Attorney Docket No.	EPI-003 US

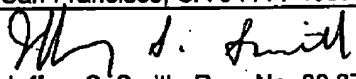
Total Number Of Pages In This Submission

5

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg., in duplicate)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences (2 pgs.)
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Peter C. Mei Bingham McCutchen LLP Three Embarcadero Center, Suite 1800 San Francisco, CA 94111-4067
Signature	 Jeffrey S. Smith, Reg. No. 39,377
Date	May 27, 2003

Certificate of Transmission (37 C.F.R. § 1.8(a)):

Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of:
Examiner Ella Colbert, TC3600 (Group Art Unit 3624), United States Patent and Trademark Office, After Final (Technology Center), Commissioner for Patents, at
Facsimile No. (703) 872-8327 at 2:45 a.m. (6.m.)

Date: May 27, 2003

Signature:

Typed or Printed Name: Oscar Guillen

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

52110288.1/2024468-7007962001

PTO/SB/17 (06-03)

Approved for use through 04/30/2003. OMB 0861-0032

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Complete If Known

FEE TRANSMITTAL
FOR FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 320.00

Application Number	09/073,748
Filing Date	May 6, 1998
First Named Inventor	Craig David Weissman et al.
Examiner Name	E. Colbert
Art Unit	3624
Attorney Docket No.	EPI-003 US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **80-2518**

Deposit Account Name **Bingham McCutchen LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims		18	\$
Multiple Dependent		84	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	*Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	*Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	320.00
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1480	130	1480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(c)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ 160.00

SUBMITTED BY

Name (Print/Type) **Jeffrey S. Smith**

Registration No. **39,377**
(Attorney/Agent)

Complete (if applicable)

Telephone **(650) 849-4422**

Signature

Date **May 27, 2003**

Burdan Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

52110233.1/2024489-7007962001

Received from < 650 849 4800 > at 5/27/03 5:40:28 PM [Eastern Daylight Time]

Appl. No.: 09/073,748

PATENT
Docket No. EPI-003 US
24489-7001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Craig David Weissman et al.

Assignee: E.piphany, Inc.

Filing Date: May 6, 1998

Serial No.: 09/073,748

Title:

METHOD AND APPARATUS FOR CREATING A WELL-FORMED DATABASE SYSTEM
USING A COMPUTER

Examiner: E. Colbert

Group Art Unit: 3624

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450East Palo Alto, California
May 27, 2003NOTICE OF APPEAL TO THE BOARD OF PATENT APPEALS
AND INTERFERENCES

Dear Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The items checked below are appropriate.



Appeal Fee:



Large Entity Fee of \$320.00; or



Small Entity Fee of \$160.00.



Applicant(s) claim Small Entity Status under 37 CFR § 1.27.



Payment of Fees



Enclosed is Check No. _____ in the amount of \$ _____.



Please charge Deposit Account No. 50-2518, in the amount of \$320.00 to cover the above-fees, referencing billing reference no. 7007962001.
A duplicate copy of this sheet is enclosed.

05/29/2003 SWILLIAM 00000003 502518 09073748

52110292.1/2024489-7007962001

01 FC:1401

320.00 CH

1 of 2

Appl. No.: 09/073,748

PATENT
Docket No. EPI-003 US
24489-7001



The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2518, referencing billing reference no. 7007962001. *A duplicate copy of this sheet is enclosed.*

DATE: May 27, 2003

Respectfully submitted,

By: _____

Jeffrey Smith
Registration No. 39,377

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